

UNITED STATES PATENT & TRADEMARK OFFICE
Washington, D.C. 20231

4/28/05

REQUEST FOR PATENT FEE REFUND

1 Date of Request: 5/24/05

2 Serial/Patent # 10/524,665

3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT
<input checked="" type="checkbox"/>	Filing Fee Change			\$ 100.00
	Amendment			\$
	Extension of Time			\$
	Notice of Appeal/Appeal			\$
	Petition			\$
	Issue			\$
	Cert of Correction/Terminal Disc.			\$
	Maintenance			\$
	Assignment			\$
	Other			\$
		7 TOTAL AMOUNT OF REFUND	\$ 100.00	
		8 TO BE REFUNDED BY:	<u>CC</u>	
10 REASON:		<input type="checkbox"/> Treasury Check <input type="checkbox"/> Credit Deposit A/C #: 9 -- 		
<input checked="" type="checkbox"/>	Overpayment			
	Duplicate Payment			
	No Fee Due (Explanation):			

11 REFUND REQUESTED BY:

TYPED/PRINTED NAME: Rita White

TITLE: Legal Assistant/Claim

SIGNATURE: Rita White

PHONE: 703-308-9140 ext. 231

OFFICE: DO/EO

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APPROVED: _____ DATE: _____

Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

Office of Finance
Refund Branch
Crystal Park One, Room 802B

PATENT AND TRADEMARK
DIVISION

2005 JUN -1 AM 9:10 May 24, 2005

OBLON
SPIVAK
McCLELLAND
MAIER
&
NEUSTADT
P.C.

UNITED STATES PATENT AND TRADEMARK OFFICE
Box 16
Washington, DC 20231

Attn: Frank Lebron
Refund Department

ATTORNEYS AT LAW
KATHLEEN A. MORSBERGER
CONTROLLER
(703) 412-6494
KMORSBERGER@OBLON.COM

Re: Deposit Account #150030

Dear Mr. Lebron

Enclosed is a copy of a portion of our Deposit Account Statement of February, 2005. Please review the highlighted charge on Serial Number 10/524,665 in the amount of \$100.00 on fee code #1615.

Since all claim fees were paid for at the time the application was filed (note a preliminary amendment containing 30 claims was filed with the application papers), the charge on our deposit account is most likely for additional monies concerning the search fee which was recently decreased from \$500.00 to \$400.00.

In accordance with the rule change of February 1, 2005, Title 37 CFR Part 1, Section 1.492 (copy attached), "the search fee for an international application entering the national stage . . . is \$400.00 ..." Therefore the \$400.00 search fee (not the old fee of \$500.00) is correct and a refund should be issued for the extra \$100.00 charged on our deposit account.

Please review this application and kindly refund \$100.00 to deposit account #150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance.

Sincerely,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Debra J. Noel

Debra J. Noel
Accounting Department

Enclosures



**United States
Patent and
Trademark Office**

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Deposit Account Statement

Requested Statement Month: February 2005
Deposit Account Number: 150030
Name: NORMAN F. OBLON
Attention:
Address: 1940 DUKE STREET
City: ALEXANDRIA
State: VA
Zip: 22314

DATE	SEQ	POSTING REF	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
02/01	78	10503936	256748US6PCT	1201	\$2,000.00	\$16,574.71
02/01	79	10503936	256748US6PCT	1202	\$350.00	\$16,224.71
02/01	192	10880101	254622WO/TEP/FF	8007	\$20.00	\$16,204.71
02/01	245	60584469	255455US/KQU	8007	\$60.00	\$16,144.71
02/01	342	60538457	248191USUS/KQU	8007	\$20.00	\$16,124.71
02/01	343	60559950	251700US/KQU	8007	\$20.00	\$16,104.71
02/02	5	09889141	211141US2PCT	1806	\$180.00	\$15,924.71
02/02	6	10940012	258658US25CONT	1202	\$200.00	\$15,724.71
02/02	262	60573420	253699US/KQU	8007	\$40.00	\$15,684.71
02/03	85	10760499	247798US2SX CONT	1201	\$200.00	\$15,484.71
02/04	10	09836470	205801USDIV	1202	\$500.00	\$14,984.71
02/04	34	11045337	265332US90	1202	\$1,100.00	\$13,884.71
02/04	82	10498357	254769US6PCT	1617	\$130.00	\$13,754.71
02/04	167	10764467	245417US/KQU	8007	\$20.00	\$13,734.71
02/04	169	10764569	245418US/KQU	8007	\$20.00	\$13,714.71
02/04	174	10780640	249160US/KQU	8007	\$60.00	\$13,654.71
02/04	176	60557687	250390US/KQU	8007	\$20.00	\$13,634.71
02/04	177	10796064	248424US/KQU	8007	\$80.00	\$13,554.71
02/04	275	10764527	245419US/KQU	8007	\$20.00	\$13,534.71
02/07	26	PAYMENT		9203	-\$20,000.00	\$33,534.71
02/07	51	10002434	263467US8	1463	\$70.00	\$33,464.71
02/07	140	10212399	263471US8	1463	\$70.00	\$33,394.71
02/07	185	60566077	252645US/KQU	8007	\$60.00	\$33,334.71
02/07	381	0155740001	254464MX/TEP/FF	8014	\$25.00	\$33,309.71
02/08	4	10488242	249173US2XPCT	1463	\$70.00	\$33,239.71
02/08	136	10408218	236211US30/JEM	1251	\$120.00	\$33,119.71
02/08	173	10523108	262509US2PCT	1633	\$100.00	\$33,019.71
02/09	11	10355304	233504USOSCO	1252	\$450.00	\$32,569.71

Deposit Account Statement

Page 3 of 4

02/17 340	10524143	264612US0XPCT	1615	\$100.00	\$24,647.71
02/17 359	10524038	265770US26PCT	1633	\$100.00	\$24,547.71
02/17 363	10524039	264733US0PCT	1633	\$100.00	\$24,447.71
02/17 365	10524145	264624US0XPCT	1615	\$100.00	\$24,347.71
02/17 372	10524037	264681US0XPCT	1633	\$100.00	\$24,247.71
02/17 432	10524035	265066US2PCT	2617	\$50.00	\$24,197.71
02/18 1	10504855	258091US3PCT	1613	-\$920.00	\$25,117.71
02/18 2	10504855	258091US3PCT	1617	-\$130.00	\$25,247.71
02/18 14	10181435	225725US0PCT	2251	\$60.00	\$25,187.71
02/18 15	11026015	263957US2SRD DIV	1081	\$250.00	\$24,937.71
02/18 16	10776326	247982US2DIV	1806	-\$180.00	\$25,117.71
02/18 164	11058673	266049US2	1202	\$150.00	\$24,967.71
02/18 254	10524108	264542US6PCT	1633	\$100.00	\$24,867.71
02/18 292	10523842	265678US0PCT	1616	\$100.00	\$24,767.71
02/18 384	10523815	265125US2PCT	1614	\$100.00	\$24,667.71
02/18 433	10524207	265434USOPCT	1615	\$100.00	\$24,567.71
02/18 441	10523814	265530US0PCT	1616	\$100.00	\$24,467.71
02/22 3	10663681	242768US90CONT	1806	\$180.00	\$24,287.71
02/22 4	10901264	251752US0CONT	1806	-\$180.00	\$24,467.71
02/22 5	10384701	234939US0	1806	-\$180.00	\$24,647.71
02/22 5	10789152	43780.0114012556981806		\$180.00	\$24,467.71
02/22 6	10441006	237870US3	1806	-\$180.00	\$24,647.71
02/22 6	29161289	223525USDES	1453	\$440.00	\$24,207.71
02/22 7	10111379	221997US3PCT	1806	-\$180.00	\$24,387.71
02/22 35	11006643	262982US0	1081	\$250.00	\$24,137.71
02/22 45	60547798	248998US/KQU	8007	\$240.00	\$23,897.71
02/22 87	09273197	500.714US1235144	1801	\$790.00	\$23,107.71
02/22 88	09273197	500.714US1235144	1255	\$2,160.00	\$20,947.71
02/22 139	10524212	265469US3PCT	1633	\$100.00	\$20,847.71
02/22 144	10832436	252229US3DIV	1814	\$20.00	\$20,827.71
02/22 157	60547798	248998US/KQU	8007	\$40.00	\$20,787.71
02/22 176	10524371	265330US6XPCT	1633	\$100.00	\$20,687.71
02/22 251	10524356	265601US6PCT	1633	\$100.00	\$20,587.71
02/22 268	10524360	265996US0PCT	1616	\$100.00	\$20,487.71
02/22 340	10524510	265435US2PCT	1633	\$100.00	\$20,387.71
02/23 3	10485817	246996US0XPCT	1202	\$50.00	\$20,337.71
02/23 27	10933335	258378US2	1202	\$50.00	\$20,287.71
02/23 45	10935279	258556US0CONT	1251	\$120.00	\$20,167.71
02/23 204	10524486	264821US0PCT	1617	\$100.00	\$20,067.71
02/23 248	10524215	265769US26PCT	1633	\$100.00	\$19,967.71
02/23 252	10524216	265070US0PCT	1633	\$100.00	\$19,867.71
02/23 398	10524757	265980USOPCT	1616	\$100.00	\$19,767.71
02/24 63	60565842	252514US/KQU	8007	\$20.00	\$19,747.71
02/24 123	10524669	265287US0X PCT	1615	\$100.00	\$19,647.71
02/24 129	10524670	265898US3PCT	1616	\$100.00	\$19,547.71
02/24 134	10524671	265380US6PCT	1617	\$100.00	\$19,447.71
02/24 145	10524665	265368US0XPCT	1615	\$100.00	\$19,347.71
02/24 152	10524666	265792US0PCT	1616	\$100.00	\$19,247.71

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Due Date 02-24-05

OSMM&N File No. 265368US0XPCT

By NFO/rkm/FF

Serial No. New U.S. PCT Application based on PCT/EP03/07158

In the matter of the Application of Volker HENNIGE et al.

For SEPARATOR-ELECTRODE UNIT FOR LITHIUM-ION BATTERIES,
METHOD FOR THE PRODUCTION AND USE THEREOF IN LITHIUM
BATTERIES

The following has been received in the U.S. Patent Office on the date stamped hereon:

- 39 pgs. Specification 32 Claims (English Translation) pgs. Sequence Listing
- Combined Declaration, Petition & Power of Attorney (4 pages)
- Application Data Sheet
- Notice of Priority
- Dep. Acct. Order Form
- Credit Card Payment Form for \$1,400.00
- Drawings (3 sheets)
- PCT Transmittal Letter
- Preliminary Amendment
- PCT/IB/304 PCT/IB/308
- Information Disclosure Statement PTO-1449
- Cited References (3)
- International Search Report
- Translation of Annexes to International Preliminary Examination Report
Amended Sheets (pages 34, 35, 36, 37 and 38)

SERIAL NO. _____

DATE RECEIVED _____





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FORM PTO-1390 (Modified) U.S. PATENT AND TRADES (REV. 12-2004)		OFFICE: U.S. DEPARTMENT OF COMMERCE		ATTOI	"S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371				265368US0XPCT	
INTERNATIONAL APPLICATION NO. PCT/EP03/07158		INTERNATIONAL FILING DATE 4 July 2003		PRIORITY DATE CLAIMED 24 August 2002	
TITLE OF INVENTION SEPARATOR-ELECTRODE UNIT FOR LITHIUM ION BATTERIES, PRODUCTION THEREOF AND USE IN LITHIUM BATTERIES					
APPLICANT(S) FOR DO/EO/US HENNIGE Volker et al.					
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the Inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). <p>Items 13 to 23 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A power of attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13/er.2 and 37 CFR 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. <input type="checkbox"/> Express Mail Label No. 23. <input checked="" type="checkbox"/> Other items or information: PCT/IB/304/Application Data Sheet/PCT/IB/308 Notice of Priority/Drawings (3 sheets)/PTO-1449 Cited References (3)/Amended Sheets (pages 34, 35, 36, 37, and 38) 					

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PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER																															
		PCT/EP03/07158		265368US0XPCT																															
24. The following fees are submitted: <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 65%; vertical-align: top;"> <input checked="" type="checkbox"/> a) Basic national fee \$300.00 <input checked="" type="checkbox"/> b) Examination fee \$200.00 <input checked="" type="checkbox"/> c) Search fee \$500.00 </td> <td style="width: 35%; vertical-align: bottom; text-align: right;"> TOTAL OF ABOVE CALCULATIONS = \$1000.00 </td> </tr> </table>						<input checked="" type="checkbox"/> a) Basic national fee \$300.00 <input checked="" type="checkbox"/> b) Examination fee \$200.00 <input checked="" type="checkbox"/> c) Search fee \$500.00	TOTAL OF ABOVE CALCULATIONS = \$1000.00																												
<input checked="" type="checkbox"/> a) Basic national fee \$300.00 <input checked="" type="checkbox"/> b) Examination fee \$200.00 <input checked="" type="checkbox"/> c) Search fee \$500.00	TOTAL OF ABOVE CALCULATIONS = \$1000.00																																		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																																			
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE																															
- 100 =	/50 =			x \$250.00	\$ \$0.00																														
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).																																			
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">CLAIMS</td> <td style="width: 15%;">NUMBER FILED</td> <td style="width: 15%;">NUMBER EXTRA</td> <td style="width: 15%;">RATE</td> <td colspan="2"></td> </tr> <tr> <td>Total claims</td> <td>30</td> <td>- 20 =</td> <td>10</td> <td>x \$50.00</td> <td>\$ \$500.00</td> </tr> <tr> <td>Independent claims</td> <td>1</td> <td>- 3 =</td> <td>0</td> <td>x \$200.00</td> <td>\$ \$0.00</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIMS (if applicable)</td> <td>□ +</td> <td>\$ \$360.00</td> </tr> <tr> <td colspan="6" style="text-align: center;">TOTAL OF ABOVE CALCULATIONS = \$1,400.00</td> </tr> </table>						CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	30	- 20 =	10	x \$50.00	\$ \$500.00	Independent claims	1	- 3 =	0	x \$200.00	\$ \$0.00	MULTIPLE DEPENDENT CLAIMS (if applicable)				□ +	\$ \$360.00	TOTAL OF ABOVE CALCULATIONS = \$1,400.00					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																																
Total claims	30	- 20 =	10	x \$50.00	\$ \$500.00																														
Independent claims	1	- 3 =	0	x \$200.00	\$ \$0.00																														
MULTIPLE DEPENDENT CLAIMS (if applicable)				□ +	\$ \$360.00																														
TOTAL OF ABOVE CALCULATIONS = \$1,400.00																																			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.																																			
SUBTOTAL = \$1,400.00																																			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).																																			
TOTAL NATIONAL FEE = \$1,400.00																																			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$0.00																																			
TOTAL FEES ENCLOSED = \$1,400.00																																			
Amount to be refunded: Amount to be charged:																																			
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ In the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____ c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.																																			
SEND ALL CORRESPONDENCE TO: 22850																																			
SIGNATURE <u>Norman F. Oblon</u>																																			
NAME <u>24,618</u>																																			
REGISTRATION NUMBER																																			

United States Patent & Trademark Office
Credit Card Payment Form

Credit Card Information	
Credit Card Type: <input checked="" type="checkbox"/> American Express	
Credit Card Account #: 3787-350692-61001	
Credit Card Expiration Date: December 31, 2005	
Name as it Appears on Credit Card: Marshall, Ronikka K.	
Payment Amount: \$(US Dollars): 1,400.00	
Signature:	Date: February 11, 2005
Credit Card Billing Address	
Street Address 1: Oblon, Spivak, McClelland, Maier & Neustadt, P.C.	
Street Address 2: 1940 Duke Street	
City: Alexandria	
State: Virginia	Zip/Postal Code: 22314
Country: U.S.A.	
Daytime Phone #: (703) 413-3000 Accounting Dept.	Fax #: (703) 413-2220
Request and Payment Information	
Description of Request and Payment Information: new us pct application	
Application No.:	
Patent/Registration No.:	
Docket No.: 265368US	

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